



IPW 1635

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

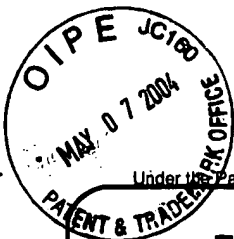
Applicant: AGRAWAL, Sudhir *et al.*  
Title: MODULATION OF OLIGONUCLETIDES CpG-MEDIATED  
IMMUNE STIMULATION BY POSITIONAL MODIFICATION OF  
NUCLEOSIDES  
Appl. No.: 09/770,602  
Filing Date: January 26, 2001  
Examiner: Zara, Jane J.  
Art Unit: 1635

**AMENDMENT IN RESPONSE TO NOTICE TO COMPLY UNDER 37 CFR §§1.821-825**

Mail Stop Sequence  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Notice to Comply With Requirements for Applications Containing  
Sequence Disclosures mailed March 22, 2004, please amend the application as follows:



## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|                        |                       |
|------------------------|-----------------------|
| Application Number     | 09/770,602            |
| Filing Date            | January 26, 2001      |
| First Named Inventor   | Agrawal               |
| Art Unit               | 1635                  |
| Examiner Name          | Zara, J.              |
| Attorney Docket Number | HYB-003US1 (1006/004) |

Total Number of Pages In This Submission

15

### ENCLOSURES (Check all that apply)

|  |  |  |
|--|--|--|
| <input type="checkbox"/> Fee Transmittal Form                                | <input checked="" type="checkbox"/> Drawing(s)   | <input type="checkbox"/> After Allowance Communication to Group                            |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Licensing-related Papers  | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input checked="" type="checkbox"/> Amendment/Reply                          | <input type="checkbox"/> Petition  | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition to Convert to a Provisional Application  | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Power of Attorney, Revocation   | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Change of Correspondence Address  | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):            |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Terminal Disclaimer   |  |
| <input type="checkbox"/> Information Disclosure Statement                    | <input type="checkbox"/> Request for Refund  |  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <input type="checkbox"/> CD, Number of CD(s) _____   |  |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    | Remarks  |  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | 1. Power of Attorney by Assignee<br>2. Copy of Notice to Comply<br>3. Paper copy of Sequence Listing<br>4. Diskette containing computer-readable form of sequence listing<br>5. Statement Under 37 C.F.R. 1.821(f)<br>6. Return postcard |  |

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                    |  |
|--------------------|--|
| Firm or Individual | Keown & Associates<br>Wayne A. Keown, Ph.D., Reg. No. 33.923 |
| Signature          | <i>W. A. Keown</i>   |
| Date               | 5/5/04   |

### CERTIFICATE OF MAILING

|  |                      |      |        |
|--|----------------------|------|--------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail addressed to: Commissioner for Patents, P.O. BOX 1450, Alexandria, VA 22313-1450 on |                      |      |        |
| Typed or printed   | <i>Laura Labrier</i> |      |        |
| Signature  | <i>Heesa Labrier</i> | Date | 5/5/04 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.